



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Virginia 22313-1450 www.usplu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/629,725

07/28/2003

Lewis B. Aronson

15436.247.2.1.2

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CONFIRMATION NO. 9138

FORMALITIES LETTER

OC000000012947202

Date Mailed: 06/15/2004

022913

WORKMAN NYDEGGER (F/K/A WORKMAN NYDEGGER & SEELEY)

60 EAST SOUTH TEMPLE 1000 EAGLE GATE TOWER SALT LAKE CITY, UT 84111 AUS 0 5 2004 G

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/10/2004 BSAYASI1 00000017 10629725

01 FC:1051 02 FC:1201

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130.00 OP 86.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The following item(s) appear to have been omitted from the application:

- Page(s) 45 of the specification (description and claims).
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of

being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS <u>TWO MONTH</u> PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$216 for a Large Entity

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$86
 - \$86 for 2 independent claims over 3.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RICK D. NYDEGGER BRENT P. LORIMER THOMAS R. VUKSINA LARRY R. LAYCOCK JONATHAN W. RICHARDS DAVID R. WRIGHT JOHN C. STRINGHAM JOHN M. GUYNN CHARLES L. ROBERTS DANA L. TANGREN ERIC L. MASCHOFF CHARLES J. VEVERKA ROBYN L. PHILLIPS RICHARD C. GILMORE * **F**IERLING A. BRENNAN R. BURNS ISRAELSEN DAVID R. TODD GREGORY M. TAYLOR DAVID B. DELLENBACH L. DAVID GRIFFIN Adrian J. Lee FRASER D. ROY

0 5 2004



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PATENT APPLICATION Docket: 15436.247.2.1.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
	Lewis B. Aronson, et al.	<i>)</i>)
Serial No.:	10/629,725) Art Unit) 2681
Confirmation No.:	9138))
Filed:	July 28, 2003)) `
For:	INTEGRATED CIRCUIT WITH DUAL EYE OPENERS))

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed June 15, 2003, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- X A Declaration, Power of Attorney, and Petition executed by the inventors that was filed in the parent file September 16, 2003, assigned Serial No. 10/420,027.
- X Petition Under 37 C.F.R. § 1.53(e)
- X A copy of the Notice to File Missing Parts of Application Filing Date Granted.

^{*} Admitted only in California † Admitted only in New York

<u>X</u>	A Cert	ificate of Express Mail under 37 C.F.R. § 1.10.	
<u>X</u>	PTO-2038 in the amount of \$346.00 is enclosed to cover:		
	<u>X</u>	The \$130.00 surcharge fee pursuant to 37 C.F.R. § 1.17(h).	
	<u>X</u>	The \$86.00 for 2 independent claims over 3.	
	<u>X</u>	The \$130.00 surcharge fee pursuant to 37 C.F.R. § 1.16(e).	
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.			
	<u>X</u>	Any additional filing fees required under 37 C.F.R. § 1.16.	
	<u>X</u>	Any patent application processing fees under 37 C.F.R. § 1.17.	
The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.			
	<u>X</u>	Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.	
	<u>X</u>	Any patent application processing fees under 37 C.F.R. § 1.17.	
		The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).	
<u>X</u>	X A duplicate copy of this letter is enclosed.		
Please the undersigne		s all future correspondence in connection with this case to the attention of	
DATE	D this_	5 day of August, 2004.	
		Respectfully submitted,	

R. BURNS ISRAELSEN Attorney for Applicant Registration No. 42,685 Customer No. 022913

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